



Management System Certification Audit Summary Report

Organization:	APO PRODUCTION UNIT, INC. & UNITED GRAPHIC EXPRESSION CORPORATION IN THEIR CAPACITIES AS MEMBERS OF THE APO-UGEC SECURITY PRINTING JOINT VENTURE				
Address:	APO Production Unit, Inc., Lima Technology Center, Lot No.3, Block 16, A. Mabini St., Malvar, Batangas, Philippines				
Standard(s):	ISO 28000:2007	Accreditation Body(s): UNACC			
Representative:	Edna Y. Cureg				
Site(s) audited:	same as above	Date(s) of audit(s): This visit: Next visit due:		27-29 January 2021 December 2021	
EAC Code:	09	NACE Code:	22.2	Technical Area code:	SCS6
Effective No. of Personnel:	396		No. of Shifts:	2 shifts (6-6)	
Lead auditor:	Jerome G. Cipriano		Additional team member(s):	None	
Additional Attendees and Roles:	None				
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1. Audit objectives

The objectives of this audit were:

To determine conformity of the management system, or parts of it with audit criteria and its:

- ability to ensure applicable statutory, regulatory and contractual requirements are met,
- effectiveness to ensure the client can reasonably expect to achieve specified objectives, and
- ability to identify as applicable areas for potential improvement.

2. Scope of certification

Supply chain security activities in the design, development, conversion, personalization, printing, packaging, testing, storage, and delivery of printed products.

Has this scope been amended as a result of this audit?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
This is a multi-site audit and an Appendix listing all relevant sites and/or remote locations has been established (attached) and agreed with the client.	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No

For integrated audits, confirm the current level of the client's IMS integration: N/A Basic High

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3. Current audit findings and conclusions

The audit was carried out fully by remote auditing using the Information and Communication Technology (ICT) Tools indicated in the audit plan. The use of ICT contributed to the effectiveness of the audit in achieving stated objectives.

The audit team conducted a process-based audit focusing on significant aspects/risks/objectives required by the standard(s). A sampling process was used, based on the the information available at the time of the audit. The audit methods used were interviews, observation of activities and review of documentation and records.

The structure of the audit was in accordance with the audit plan included as an annexe to this summary report.

The audit team concludes that the organization has has not established and maintained its management system in line with the requirements of the standard and demonstrated the ability of the system to systematically achieve agreed requirements for products or services within the scope and the organization's policy and objectives.

Number of nonconformities identified: 0 Major 2 Minor

Therefore the audit team recommends that, based on the results of this audit and the system's demonstrated state of development and maturity, management system certification be:

Granted / Continued / Withheld / Suspended until satisfactory corrective action is completed.

4. Previous Audit Results

The results of the last audit of this system have been reviewed, in particular to assure appropriate correction and corrective action has been implemented to address any nonconformity identified. This review has concluded that:

- Any nonconformity identified during previous audits has been corrected and the corrective action continues to be effective.
- The management system has not adequately addressed nonconformity identified during previous audit activities and the specific issue has been re-defined in the nonconformity section of this report.

5. Audit Findings

The audit team conducted a process-based audit focusing on significant aspects/risks/objectives. The audit methods used were interviews, observation of activities and review of documentation and records.

The management system documentation demonstrated conformity with the requirements of the audit standard and provided sufficient structure to support implementation and maintenance of the management system. Yes No

The organization has demonstrated effective implementation and maintenance / improvement of its management system and is capable of achieving its policy objectives, as well as the intended results of the respective management system(s). Yes No

The organization has demonstrated the establishment and tracking of appropriate key performance objectives and targets and monitored progress towards their achievement. Yes No

The internal audit program has been fully implemented and demonstrates effectiveness as a tool for maintaining and improving the management system. Yes No

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The management review process demonstrated capability to ensure the continuing suitability, adequacy and effectiveness of the management system.

Yes No

Throughout the audit process, the management system demonstrated overall conformance with the requirements of the audit standard.

Yes No

Certification claims are accurate and in accordance with SGS guidance and the organization is effectively controlling the use of certification documents and marks.

N/A Yes No

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